



The Insolvency
Service

Information Rights Team
The Insolvency Service
Cannon House
18 Priory Queensway
Birmingham
B4 6FD

Tel: 0300 678 0015

foi@insolvency.gov.uk

Elliot Green
elliott.green@oliverelliott.co.uk

www.gov.uk/insolvency-service

Our ref: FOI23/24-128
Date: 12 October 2023

Dear Elliot Green

Re: Freedom of Information Act (FOIA) Request

Thank you for your email of 13 September in which you requested from the Insolvency Service:

“For both the years to 31 March 2023 and 31 March 2022 pursuant inter alia to Sections 1 and 10 of the Freedom of Information Act 2000, please confirm and provide:

1. The number of Directors whose claims submitted to the Redundancy Payment Service were rejected.

2. The number of Directors who submitted claims to the Redundancy Payment Service.

3. Breakdown of the reasons claims were rejected.

4. The number of Directors who submitted claims

to Redundancy Payment Service that were rejected and taken to Employment Tribunal.

5. The number of Directors who were successful they submitted a claim to the Redundancy Payment Service that was rejected and then took the matter to Employment Tribunal”.

Your request has been dealt with under the Freedom of Information Act 2000 (FOIA).

I can confirm the agency holds some of the information that you have requested, and I have provided answers to your questions below along with an attached spreadsheet.

Please note that all the information provided below is based on the date the claim was received, not the date the claim was rejected.

- 1. The number of Directors whose claims submitted to the Redundancy Payment Service were rejected. (This is the number of claims from directors where one or more component was rejected):**

FY	<i>Number of Director Claims with one or more Component Rejected</i>
21/22	1,893
22/23	3,683
Total	5,576

2. The number of Directors who submitted claims to the Redundancy Payment Service.

FY	<i>Number of Director Claims Received</i>
21/22	5,007
22/23	6,359
Total	11,366

3. Breakdown of the reasons claims were rejected.

There are individual components that make up a claim e.g., redundancy pay, holiday pay, arrears of pay etc. Each individual component is processed differently and may be rejected for different reasons. Therefore, we are unable to provide a breakdown of the reasons why the whole claim was rejected but the number of components rejected for director claims received in each reporting period is shown in the table below.

FY	<i>Number of Components Rejected for Director Claims</i>
21/22	3,443
22/23	9,370
Total	12,813

The attached spreadsheet provides a breakdown of the individual rejection reasons for each component.

4. The number of Directors who submitted claims to Redundancy Payment Service that were rejected and taken to Employment Tribunal.

We do not hold the number of directors who have submitted claims to the Employment Tribunal during the period requested. However, we can confirm that we received 49 Employment Tribunal claims from directors between the period 14 February 2023 to October 2023.

5. The number of Directors who were successful when they submitted a claim to the Redundancy Payment Service that was rejected and then took the matter to Employment Tribunal.

We have a record of 5 directors who were successful at the tribunal between the period 14 February 2023 to 6 October 2023. The successes include those directors whose claims were reduced by the tribunal to the amounts shown on their P60s and may not have been awarded the full amounts they were seeking.

Complaints

If you are not satisfied with the response we have provided to you and would like us to reconsider our decision by way of an internal review (IR), please contact our Information Rights team within 40 working days of this letter at foi@insolvency.gov.uk or by post at:

Information Rights Team
The Insolvency Service
3rd Floor
Cannon House
18 Priory Queensway
Birmingham
B4 6FD
United Kingdom

You also have the right to contact the Information Commissioner's Office (ICO) if you wish for them to investigate any complaint you may have regarding our handling of your request. However, please note that the ICO is likely to expect an IR to have been completed in the first instance.

Kind regards

Information Rights Team
The Insolvency Service

The Department for Business and Trade, Official Receivers and the Adjudicator are Data Controllers in respect of personal data processed by the Insolvency Service. For the details about how personal data is processed by the agency, please see the full Insolvency Service Personal Information Charter here: <https://www.gov.uk/government/organisations/insolvency-service/about/personal-information-charter>